

Kotak Investment Advisors Ltd.
Details of votes cast during the quarter ended December 31, 2020 of the Financial year 2020-21

Date of Intimation	Company Name	Type of meetings (AGM/EGM)	Proposal by Management or Shareholder	Proposal's description	Investee company's Management Recommendation	Vote (For/ Against/ Abstain) Aggressive	Reason supporting the vote decision
02-Oct-20	AU Small Finance Bank Limited	Postal Ballot	Management	1. To Approve Variable Pay For FY 2019 20 and remuneration For FY 2020 21 Of Mr Sanjay Agarwal (DIN 00009526) Managing Director And CEO	For	For	Continuity in the management of company.
		Postal Ballot	Management	2. To Approve Variable Pay for FY 2019 20 and remuneration For FY 2020 21 of Mr Uttam Tibrewal (DIN 01024940) Whole Time Director	For	For	Continuity in the management of company.
21-Oct-20	Tata Consultancy Services Limited	Postal Ballot	Management	1. Approval for Buyback of Equity Shares	For	For	The buyback will enable the company to distribute surplus cash to its shareholders and improve return ratios.
20-Oct-20	Wipro Ltd	Postal Ballot	Management	1. Approval for Buyback of Equity Shares	For	Abstain	No Specific view on how it will impact the company.
03-Nov-20	HDFC Bank	Postal Ballot	Management	1. Appointment of Mr. Sashidhar Jagdishan (DIN 08614396) as a director of the bank	For	Abstain	No View
		Postal Ballot	Management	2. Appointment of Mr. Sashidhar Jagdishan (DIN 08614396) as the Managing Director and Chief Executive Officer of the bank for a period of three years w.e.f. October 27 2020 on the terms and conditions relating to the said appointment including remuneration as approved by the RBI	For	Abstain	Continuity in the management of company, however can not opine on individual remuneration.
09-Nov-20	Axis Bank	Postal Ballot	Management	1. Re-appointment of Smt. Ketaki Bhagwati Independent Director of the bank for her second term of three years	For	Abstain	Can not opine on individual capabilities.
		Postal Ballot	Management	2. Appointment of Smt. Meena Ganesh as an Independent Director of the bank for a period of four years	For	Abstain	Can not opine on individual capabilities.
		Postal Ballot	Management	3. Appointment of Shri Gopalaraman Padmanabhan as an Independent Director of the Bank for a period of four years	For	Abstain	Can not opine on individual capabilities.
12-Nov-20	ICICI Lombard General Insurance Company	Postal Ballot	Management	Re-appointment of Mr. Alok Kumar Agarwal (DIN 03434304) as a whole Time Director designated as Executive Director Wholesale of the company.	For	For	Continuity in the management of company.
13-Nov-20	Bosch Ltd	Postal Ballot	Management	Approval for giving loans to companies in which the directors of the company are interested.	For	For	This is an enabling resolution and the actual amount of loan for each company will be based on the requirement of funds by the respective group companies. The duration of the loans will be up to one year.
14-Nov-20	ITC Ltd	Postal Ballot	Management	Adoption of the new Articles of Association of the Company.	For	For	No governance concern identified.
20-Nov-20	Engineers India Ltd	Postal Ballot	Management	1. Approval for Buyback of Equity Shares	For	For	The buyback will enable the company to distribute surplus cash to its shareholders and improve return ratios.
25-Nov-20	Nestle India Ltd	Postal Ballot	Management	Seeking approval of members of the company for the appointment of Mr. Matthias Christoph Lohner (DIN 0008934420) as a Director and Whole Time Director designated as Executive Director Technical of the Company for a period of five consecutive years with effect from 1 st November 2020 and the terms and conditions of appointment and remuneration payable to Mr. Lohner.	For	Abstain	Continuity in the management of company, however can not opine on individual remuneration.
05-Dec-20	Crompton Greaves Consumer Electrical Ltd	Postal Ballot	Management	1. To approve the amendment in Crompton Employee Stock Option Plan 2019 ESOP 2019	For	Abstain	No views.
		Postal Ballot	Management	2. To approve increase in Authorised Share Capital of the Company	For	Abstain	No views.
27-Nov-20	Alkem Laboratories limited	Postal Ballot	Management	Partial modification of shareholders earlier proposed resolution to increase the remuneration of Mr. Sandeep Singh (DIN 01277984) Managing Director of the company by including the payment of such amounts as commission	For	For	Does not have any negative impact on the shareholders.
14-Dec-20	Shree Cement	Postal Ballot	Management	To approve advancing loans to and giving corporate guarantee in connection with any loan taken by the companys subsidiaries associates group entities upto an aggregate limit of rs. 100 crores.	For	Abstain	Unable to form specific opinion.
30-Nov-20	Tube Investments of India Limited	EGM	Management	1. Investing in securities of and providing loans to and/or guarantees or security in respect of borrowings availed/ to be availed by M/s. CG Power and Industrial Solutions Limited and any subsidiaries of the Company	For	Abstain	No views
21-Dec-20	Tube Investments of India Limited	EGM	Management	1. Issue of Equity Shares on a Preferential Allotment/Private Placement Basis to entities not belonging to the promoter or promoter group of the Company	For	Abstain	No views

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				2. Appointment of Mr. K R Srinivasan as President and Whole-time Director and the terms of remuneration thereof	For	Abstain	No views
09-Dec-20	Mindtree Limited	Postal Ballot	Management	1. Appointment of Mr. Dayapatra Nevatia (DIN 03557975) as Executive Director and Chief Operating Officer	For	Abstain	No views
				2. Appointment of Mr. Venugopal Lambu (DIN 08840898) as Executive Director and President - Global Markets	For	Abstain	No views
				3. Appointment of Mr. Chandrasekaran Ramakrishnan (DIN 00580842) as Independent Director	For	Abstain	No views
22-Dec-20	Qess Corp Limited	The Company sought consent of the shareholders of the Company for the Fast track merger.	Management	Consent for Scheme of Amalgamation of Greenpiece Landscapes India Private Limited, Golden Star Facilities and Services Private Limited, MFX Infotech Private Limited and Trimax Smart Infraprojects Private Limited with Qess Corp Limited.	For	Consent given	The proposal is beneficial for shareholders

Note : Meeting date for the postal ballot is taken as last of the voting