

**NOTICE**

**NOTICE** is hereby given that the Twenty Eighth Annual General Meeting of the members of Kotak Investment Advisors Limited will be held on Thursday, August 4, 2022 at 12.00 Noon at 27BKC, G Block, Plot No. C 27, Bandra Kurla Complex, Bandra East, Mumbai 400051:

**ORDINARY BUSINESS:**

1. To receive and adopt the Standalone and consolidated Profit and Loss Account for the year ended March 31, 2022, the Balance Sheets as at that date and the Reports of the Directors and Auditors thereon.
2. To appoint Director in place of Mr. Dipak Gupta (DIN: 00004771), who retires by rotation and, being eligible, offers himself for re-appointment.
3. To appoint Director in place of Mr. S Srinivasan (DIN: 00382697), who retires by rotation and, being eligible, offers himself for re-appointment.

**SPECIAL BUSINESS:**

4. To consider and if thought fit, to pass, with or without modification(s), the following resolution as an Ordinary Resolution:

**“RESOLVED THAT** pursuant to the provisions of Section 161 and any other applicable provisions of the Companies Act, 2013 (“Act”) and the Rules made there under (including any statutory modification(s) or re-enactments thereof for the time being in force), Mr. Srikanth Subramanian (DIN 09467628) who was appointed as an Additional Director of the Company by the Board of Directors at its meeting held on January 17, 2022 and whose term of office expires at this Annual General Meeting (‘AGM’) and in respect of whom the Company has received a notice in writing from a member under Section 160 of the Companies Act, 2013 proposing his candidature for the office of Director, be and is hereby appointed as a Director of the Company, liable to retire by rotation.”

By Order of the Board of Directors  
**KOTAK INVESTMENT ADVISORS LIMITED**  
(Corporate Identity Number: U65990MH1994PLC077472)

**Mumbai, May 31, 2022**

**Registered Office:**

27-BKC, 7th Floor, Plot No. C-27  
“G” Block, Bandra-Kurla Complex  
Bandra (East), Mumbai – 400 051  
India

T: +91 22 43360000

F: +91 22 67132423

[www.alternateassets.kotak.com](http://www.alternateassets.kotak.com)



**Pinky Dutta**  
**Company Secretary**  
**ACS40095**

Kotak Investment Advisors Limited  
(Corporate Identity Number: U65990MH1994PLC077472)

**Registered Office:**

27 BKC, 7<sup>th</sup> Floor, Plot No. C-27,  
“G” Block, Bandra – Kurla Complex,  
Bandra (East), Mumbai- 400051  
India.

T +91 22 43360000

F +91 2267132423

[www.alternateassets.kotak.com](http://www.alternateassets.kotak.com)

**EXPLANATORY STATEMENT**

In terms of Section 102 of the Companies Act, 2013, the following Explanatory Statement sets out all the material facts relating to Item No. 4 of the accompanying Notice dated May 31, 2022.

**Item No. 4**

The Board had appointed Mr. Srikanth Subramanian (DIN: 09467628) as an Additional Director of the Company with effect from January 17, 2022. Pursuant to Section 161 of the Companies Act, 2013, an additional Director shall hold office till the ensuing Annual General Meeting of the Company. The Board has recommended his appointment as Director at this Annual General Meeting.

The following additional information is provided in respect of Mr. Srikanth Subramanian (DIN: 09467628): -

Name	Srikanth Subramanian
Age	43 years
Qualifications	<ul style="list-style-type: none"> <li>• Commerce from Delhi University</li> <li>• MBA from NMIS, Mumbai</li> </ul>
Experience	<p>Srikanth Subramanian heads the Private Wealth - Investment Advisory practice at Kotak Investment Advisors Limited (KIAL).</p> <p>His key role involves growing the advisory business at KIAL.</p> <p>Prior to this, Srikanth led business development across North &amp; West regions, product and investment research, family office and business strategy groups at Kotak Private Banking. Srikanth joined the Kotak Mahindra Group in 2001. In close to two decades with the firm, he has worked across businesses and functions including Life Insurance, Private Banking and Investment Advisory.</p> <p>Srikanth was a member of the working group on SEBI (Portfolio Managers) Regulations in 2019.</p>
Terms & conditions of appointment (along with details of remuneration sought to be paid and the remuneration last drawn by such person, if applicable)	<p>Appointed as an Additional Director with effect from January 17, 2022.</p> <p>No Sitting fees is payable for attending meetings of Board of Directors.</p>
Date of first appointment on the Board	January 17, 2022

Kotak Investment Advisors Limited

(Corporate Identity Number: U65990MH1994PLC077472)

Registered Office:

27 BKC, 7<sup>th</sup> Floor, Plot No. C-27,

"G" Block, Bandra – Kurla Complex,

Bandra (East), Mumbai- 400051

India.

T +91 22 43360000

F +91 2267132423

[www.alternateassets.kotak.com](http://www.alternateassets.kotak.com)

Shareholding in the company	Nil
Relationship with other Directors, Manager and other Key Managerial Personnel (KMPs) of the company	None
The number of Meetings of the Board attended during the year	Mr. Srikanth Subramanian has attended the following three Meetings of the Board of Directors during the year ended on 31st March, 2022: 1. 17.01.2022 2. 07.02.2022 3. 15.03.2022
Other Directorships, Membership/ Chairmanship of Committees of other Boards	IVY PRODUCT INTERMEDIARIES LIMITED

The Board is of the view that the knowledge and experience of Mr. Srikanth Subramanian will immensely benefit the Company and therefore, commends the appointment of Mr. Srikanth Subramanian as Director of the Company to the members as an Ordinary Resolution.

None of the Directors, Key Managerial Personnel or their respective relatives, except Mr. Srikanth Subramanian, to whom the resolution relates are concerned or interested in the Resolution mentioned at Item No. 4 of the notice.

By Order of the Board of Directors  
**KOTAK INVESTMENT ADVISORS LIMITED**  
(Corporate Identity Number: U65990MH1994PLC077472)

**Mumbai, May 31, 2022**  
**Registered Office:**  
27-BKC, 7th Floor, Plot No. C-27  
"G" Block, Bandra-Kurla Complex  
Bandra (East), Mumbai – 400 051  
India  
T: +91 22 43360000  
F: +91 22 67132423  
[www.alternateassets.kotak.com](http://www.alternateassets.kotak.com)



**Pinky Dutta**  
**Company Secretary**  
**ACS40095**

Kotak Investment Advisors Limited  
(Corporate Identity Number: U65990MH1994PLC077472)  
Registered Office:  
27 BKC, 7<sup>th</sup> Floor, Plot No. C-27, T +91 22 43360000  
"G" Block, Bandra – Kurla Complex, F +91 2267132423  
Bandra (East), Mumbai- 400051 [www.alternateassets.kotak.com](http://www.alternateassets.kotak.com)  
India.